

## CONTRIBUTIONS OF MEMORY BRAIN SYSTEMS TO FIRST AND SECOND LANGUAGE

Michael T. Ullman  
Departments of Neuroscience, Linguistics,  
Psychology and Neurology

Georgetown University  
michael@georgetown.edu

## COLLABORATORS AND FUNDERS

### Georgetown

Claudia Bonin  
Harriet Bowden  
Claudia Broveto  
Helen Carpenter  
Joelyn Curchack  
John Drury  
Ivy Estabrooke  
Joshua Hartshorne  
Sarah Lee  
Christopher Maloof  
Matthew Moffa  
Kara Morgan-Short  
Kaori Ozawa

Elizabeth Prado  
Cristina Sanz  
Matthew Walenski  
Robbin Wood

### MIT

Suzanne Corkin  
Joseph Locascio

### Harvard/MGH

John Growdon  
Walter Koroshetz  
Steven Pinker  
Jeremy Schmahmann

### FUNDING

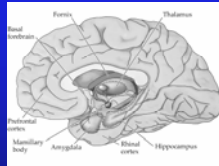
NIH: R01 MH58189; R01 HD049347; R03 HD050671  
NSF: SBR-9905273; BCS-0519133; BCS-0001961  
Defense: DAMD-17-93-V-3018/3019/3020, DAMD-17-99-2-9007  
McDonnell Foundation  
National Alliance for Autism Research  
Mabel Flory Trust  
Pfizer, Inc.

### Other

Stefano Cappa (Milan, Italy)  
Myrna Gopnik (McGill; emeritus)  
Greg Hickok (UC Irvine)  
Tracy Love (UC San Diego)  
Helen Neville (Univ of Oregon)  
Aaron Newman (Dalhousie)  
Elizabeth Pierpont (U. Wisconsin)  
Karsten Steinhauser (McGill)  
David Swinney (UC San Diego)  
Heather van der Lely (London)

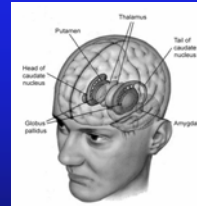
## DECLARATIVE MEMORY SYSTEM

- Learning & processing of facts, events
- Specialized for arbitrary relations
- Explicit and implicit knowledge
- Medial & lateral temporal-lobe: frontal regions (BA 45/BA 47, BA 10)
- Modulated by estrogen, acetylcholine
- Genes: BDNF, possibly others



## PROCEDURAL MEMORY SYSTEM

- Learning & control of cognitive and motor "skills" (e.g., riding a bicycle)
- Specialized for sequences and rules
- Implicit knowledge
- Left frontal (BA 44/premotor)-basal ganglia circuits; superior temporal cortex
- Modulated by dopamine
- Genes: possibly DAT, others



## FIRST LANGUAGE (L1)

### FIRST LANGUAGE

## DECLARATIVE/PROCEDURAL MODEL

Declarative memory system

Procedural memory system

Lexicon

Memory store: *(at least)*  
all word-specific information:  
-simple words (cat)  
-irregulars: (dig-dug)  
-complements (hit [direct object])

Language

Grammar

Rule-governed hierarchical and sequential (de-)composition of complex forms:  
-syntax (the cat; NP VP)  
-morphology (regulars: walk -ed)

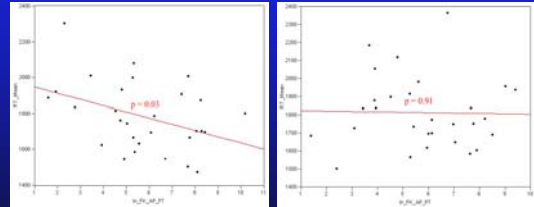
## EMPIRICAL EVIDENCE

1. Psycholinguistic
  - Frequency effects
  - Similarity (neighborhood) effects
  - Priming effects
  - Working memory effects
2. Neurological
  - Aphasia
  - Neurodegenerative disease (AD, PD, HD, semantic dementia)
  - Developmental disorders (SLI, autism, other)
3. Neuroimaging
  - Electrophysiological: ERP
  - Hemodynamic: fMRI, PET
4. Molecular

## PSYCHOLINGUISTIC

### Frequency effects:

- **Irregulars:** Consistent frequency effects
- **Regulars:** No consistent frequency effects



### Evidence Suggests:

- **Irregulars:** Retrieved from memory
- **Regulars:** Can be (de)composed in real time to/from their parts

## NEUROLOGICAL: APHASIA

### Posterior Aphasia

**Lesions:** Left temporal regions

#### Behavior:

- Impaired at content words, conceptual knowledge, irregulars
- No agrammatism, no difficulty with regulars, no motor problems

### Anterior Aphasia

**Lesions:** Left inferior frontal and basal ganglia structures

#### Behavior:

- Agrammatism, problems with regulars, motor deficits
- Relative sparing of content words, irregulars, conceptual knowledge

**Compensation:** Storage of complex forms (eg, walked) in lexical memory

(Goodglass, 1993; Alexander, 1997; Ullman, et al., 1997; Ullman, Pancheva, et al., in press)

## NEURODEGENERATIVE DISEASES

### Alzheimer's Disease

**Degeneration:** Temporal > frontal (Broca's/premotor)/basal-ganglia

#### Behavior:

- Impaired at learning new, using old content words, facts, irregulars
- Sparing of motor & cognitive skills, regulars, maybe syntax

(Arnold et al., '91; Beatty et al., '94; Nebes, '97; Ullman et al., '97; Ullman, '99; Ullman, in press; Walenski et al., under revis.)

### Parkinson's Disease

**Degeneration:** Primarily frontal/basal-ganglia

#### Behavior:

- Impaired at motor & cognitive skills, syntax, regulars
- Relatively spared: learning new, using old content words, facts, irregulars

(Dubois et al., '91; Lieberman et al., '92; Young & Penney, '93; Ullman et al., 1997; Ullman, 1999; Ullman, in press; Estabrooke & Ullman, in prep.)

## EVENT-RELATED POTENTIALS (ERPs)

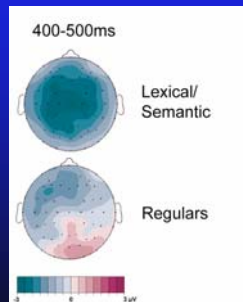
ERPs are the EEGs following stimuli (e.g., words).

### Lexical/Semantic processing:

- Central Negativity (N400)
  - Temporal lobe

### Grammar processing difficulties:

- Left Anterior Negativity (LAN)
  - Left frontal
- Central/posterior positivity (P600)
  - Basal ganglia



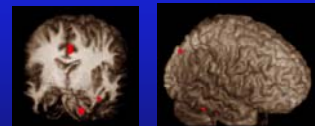
(Friederici et al. 1993, 1996, 1998; Kutas & Hillyard, 1980; Neville et al., 1991; Kotz et al., 2003; Osterhout & Holcomb, 1992)

## HEMODYNAMIC NEUROIMAGING: FMRI, PET

- Measure changes in blood oxygenation/flow in the brain.
- Changes correlate with changes in neural activity.

### Lexical processing:

- Temporal lobe regions;
- BA 45/47 for retrieval



### Grammatical processing:

- Broca's (especially BA 44);
- basal ganglia (caudate nucleus);
- superior/anterior temporal cortex



(Damasio et al., 1996; Embick et al., 2000; Indefrey et al., 1999; Moro et al., 2001; Newman et al., 2001; Ni et al., 2000; Stromswold et al., 1996; Friederici, 2002, 2004)

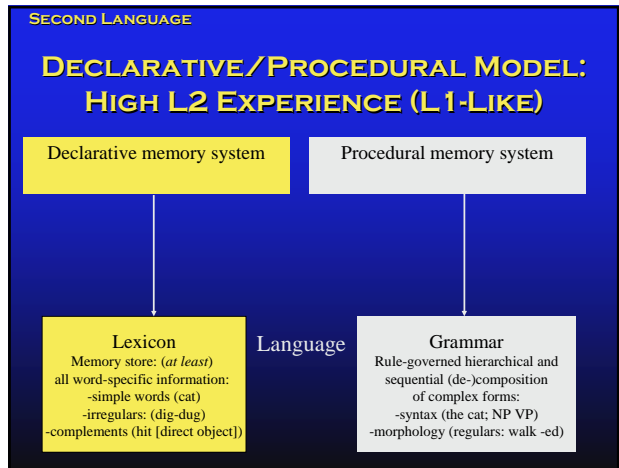
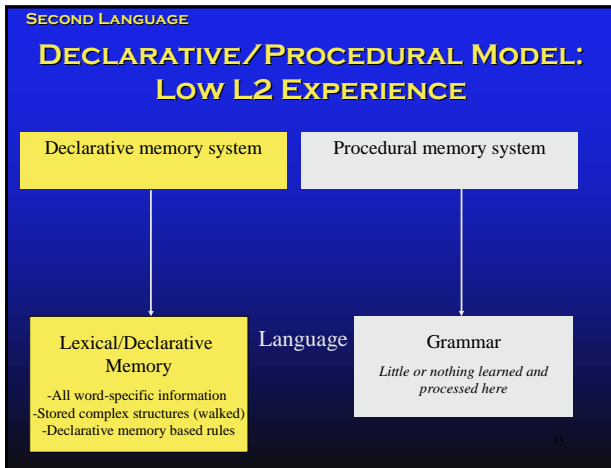
**FIRST LANGUAGE**

## DATA SUGGESTS THAT IN L1

Language	Lexicon	Grammar
Computation	Associative memory	Rule-governed composition
Brain Systems	Declarative Memory	Procedural Memory
Non-Language	Facts, Events	Motor, Cognitive skills
Specialized for	Arbitrary relations	Sequences
Anatomy	Medial & lateral temporal cortex; BA 45/47, BA 10	Left BA 44/premotor-basal ganglia circuits; superior temporal
Molecular	Estrogen; acetylcholine	Dopamine
Genetic	BDNF	DAT?

(for reviews and discussion, see Ullman et al., 1997; Ullman, 2001a, b, 2004, 2005; Ullman & Pierpont 2005)

## LATE-LEARNED SECOND LANGUAGE (L2)



**SECOND LANGUAGE**

## FREQUENCY EFFECTS

**Lower L2 experience** (Bovetto and Ullman, 2001)

- Subjects: L2 Learners of English (mean of 6 years exposure)
- Results: Frequency effects for irregulars *and* regulars
- Suggests: Regulars and irregulars *both* stored

**Higher L2 experience** (Birdsong and Flege, 2001)

- Subjects: L2 Learners of English (10 to 16 years of exposure)
- Results: Frequency effects for irregulars but *not* regulars (L1-like)
- Suggests: Irregulars stored, *not* regulars (i.e., like in L1)

**SECOND LANGUAGE**

## NEUROLOGICAL: FOCAL LESIONS, ALZHEIMER'S & PARKINSON'S

**Temporal-lobe damage** (herpes simplex, Alzheimer's)

- L2 worse than L1, including syntax

**Frontal or basal-ganglia damage** (left focal lesions, Parkinson's)

- Grammar: L1 *and* highly-practiced L2 worse than less-practiced L2
- Lexicon: No L1/L2 difference

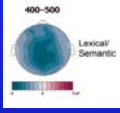
(Ku, Lachmann, & Nagler, 1996; Fabbro & Paradis, 1995; Ullman, 2001c; Zanini et al., 2004)

**SECOND LANGUAGE**

## EVENT-RELATED POTENTIALS

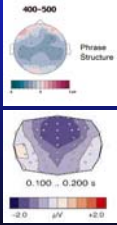
**Lexical/semantic processing**

- Low *and* high proficiency L2:
  - N400s present



**Grammatical processing**

- Lower proficiency L2:
  - No LANs; sometimes N400-like negativities
  - P600s generally present
- Higher proficiency L2:
  - LANs (including in artificial language)
  - P600s



(Webber-Fox and Neville, 1996, 1999; Osterhout & McLaughlin, 2000; Hahne, 2000, 2001; Hahne and Friederici, 2001; Friederici, Steinhauser & Pfeifer, 2002; Ojima et al. 2005)

**SECOND LANGUAGE**

## ARTIFICIAL LANGUAGE: EXPLICIT AND IMPLICIT TRAINING

Work with Kara Morgan-Short, graduate student from Spanish and Portuguese Dept. (now Assistant Professor, Univ of Illinois, Chicago)

**Explicit Training:** The rules of the language are...

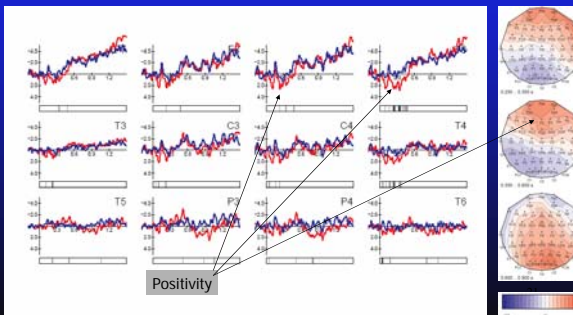
**Implicit Training:** Rapid auditory sentence presentation...

**Results:**

- 1) Behavioral: No differences between the training groups
- 2) ERP: ...

**SECOND LANGUAGE**

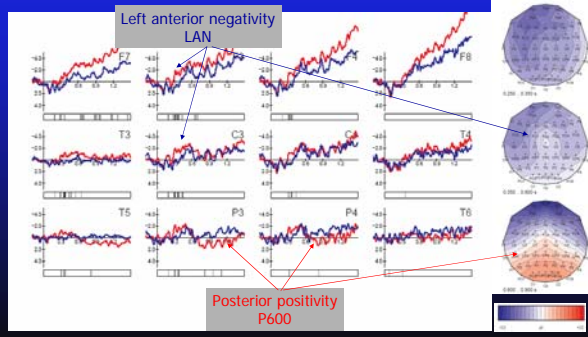
## EXPLICIT TRAINING: HIGH PROFICIENCY (SYNTACTIC VIOLATION)



Positivity

**SECOND LANGUAGE**

## IMPLICIT TRAINING: HIGH PROFICIENCY (SYNTACTIC VIOLATION)



Left anterior negativity LAN

Posterior positivity P600

**SECOND LANGUAGE**

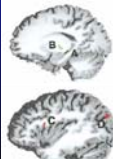
## HEMODYNAMIC NEUROIMAGING

**Lexical/semantic processing tasks:**

- No L1/L2 differences in activation patterns (Chee et al 1999; Illes et al 1999; Klein et al 1999; Pillai et al 2003)
- Minimal L1/L2 differences (likely reflecting articulation, retrieval) (Klein et al 1995; Chee et al 2001; De Blesser et al 2003)

**Sentence (syntactic) processing tasks:**

- Greater activation in declarative memory structures in L2 than L1 – especially in lower proficiency L2 learners (Perani et al 1996; Perani et al 1998, Exp 1; Dehaene et al 1997; Opitz & Friederici, 2002; Wartenburger et al 2003; Exp 1)
- Greater activation in procedural memory structures (left BA 44) in L2 than L1 - but only in higher proficiency L2 learners (Wartenburger et al 2003, Exp 2; Ruschenmeyer et al 2005; Opitz & Friederici, 2002)
- Artificial language learning, within-subjects (Opitz and Friederici, 2002)
  - low-proficiency: medial and lateral temporal activation
  - high-proficiency: activation in left BA 44



**OVERALL SUMMARY:  
L1 AND L2**

1. Linguistic representations with arbitrary relations:
  - Always seem to be stored in lexical/declarative memory – in L1 & L2.
2. Rule-governed complex representations:
  - In L1, and in high experience L2: Often (but *not* always) put together by the grammatical/procedural system
  - In lower experience L2, and with disordered procedural memory: Depend largely on lexical/declarative memory

## CURRENT & FUTURE DIRECTIONS

- **Individual differences in L1 and L2 learning:**
  - sex differences, endocrine effects, effects of age, handedness differences, genetic variability (e.g., BDNF polymorphisms), etc.
- **Improving L2 learning:**
  - selecting high-aptitude individuals
  - pharmacological manipulations
- **Therapeutic interventions for language disorders:**
  - behavioral and pharmacological manipulations of the memory systems